Evidence Battles in Evaluation: How can we do better?

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DES Conference 2013 Three tracks:

- 1. Evaluation as a force for change
- 2. New & old roads in impact evaluation
- 3. Evaluation as a forward-looking perspective

This talk in relation to the three conference tracks:

1. Evaluation as a force for change

2. New & old roads in impact evaluation

3. Evaluation as a forward-looking perspective

First, apologies

- Language
- Examples
- "Humor"
- And more

Partial and selective history of evidence (RCT) battles

- Earlier, the paradigm wars
- RCTs: quick overview
- Use of RCTs varied by field
- In US, Department of Education
- International development evaluation
- Pushback, debate, association statements

The debate

- Generally unproductive, e.g.
 - Talking past each other
 - Critiques, not even-handed
- On the surface, about methods
- Instead, probably about other issues, e.g.
 - Role of impact evaluation
 - Relative merits of RCTs for impact evaluation
 - More on these to come
- Aside: "Strange bedfellows"

Instead of method debate, consider 'deeper' issues

Should an impact evaluation be done?

- For early figures, e.g. Campbell
- Assumes "fork in the road"
- But other purposes of evaluation exist:



Many evaluation theories, emphasizing different evaluation purposes, e.g.

- Impact evaluations for selection from among options
- Info needs of program managers; program improvement
- Social justice
- Empowerment of individuals
- Creating forum for democratic deliberation
- Development of learning organizations
- Ongoing construction of an initiative
- And on and on
- Aside: Knowledge of associated theories as part of content knowledge of an evaluator

Beyond the many evaluation theories, multiple questions for evaluation, e.g.

- Feasibility of implementing a new program type
- Quality of implementation
- Compliance with regulations, e.g. about client eligibility
- Cost
- Client compliance, retention, perceptions
- Ability to scale up
- Question: Is impact the right question, for a given evaluation?

RCT advocates vs critics: Each side's view of the role of impact evaluation

Guess.

 Aside: Advocacy of RCTs, and 'gold standard' language, may be an effort to make impact evaluation more salient among policy makers, evaluation funders?

IF impact is right question, is RCT useful relative to other methods?

- Needed?
- Practical?
- Ethical?
- Overkill?
- Compared to alternative methods,
- And with what method ancillaries for other questions?

Alternative methods

 Long list (including regression-discontinuity, time series, various quasi-experiments, comparative case studies, participant statements,)

 Circumstances may favor or prohibit alternative methods

RCT advocates vs critics: Each side's view of RCT's comparative advantage

Guess

Issues of trade-offs: Estimating effects vs generalizing

Where to now? 1

- Regarding debates (this and future)
 - Try to find deeper sources of disagreement
 - E.g., role of impact evaluation; whether RCTs are generally preferable for impact evaluation
 - Try to understand other's assumptions, try not to talk past each other
 - Even-handed assessments of one's preferred and not preferred options
 - Less heat, more light

Where to now? 2

- Evidence hierarchy, not ideal
- Evidence typology, or contingency tree, an option, but may:
 - Ignore specifics
 - Be cumbersome, or incomplete, or both
 - Stifle innovation
 - Ignore quality of information needed
- May still suggest better vs worse options

An alternative:

- Informed process for selecting evaluation method (given evaluation question, context, etc).
- Leads to questions, e.g.,
 - Evaluation policy that describes
 - The location, organization, independence of evaluation unit
 - Advisory and/or review processes
- "Frameworks as an aid..."

And keep in mind

The 'guiding star' is not method choice per se

- It's the potential for evaluation to make a difference, to have positive consequences, to contribute to social betterment
 - Think of evaluation as an intervention
 - Consider the equivalent of "program theory"

Q&A.

Closing